

Docket No. 286088US0PCT



**MAIL STOP PCI**

IFW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Rosario LIZIO, et al.

SERIAL NO: 10/573,019

GAU:

FILED: March 22, 2006

EXAMINER:

FOR: MULTILAYER DOSAGE FORM COMPRISING A MATRIX THAT INFLUENCES RELEASE OF A MODULATORY SUBSTANCE

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
Norman F. Oblon

Norman F. Oblon

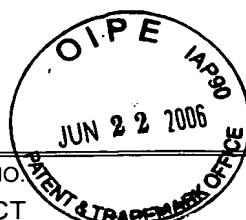
Registration No. 24,618

Customer Number

**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

Surinder Sachar  
Registration No. 34,423

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

286088US0PCT

SERIAL NO.

10/573,019

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Rosario LIZIO, et al.

FILING DATE

March 22, 2006

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5 508 040	4/16/1996	CHEN, Chih-Ming			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AK	04-360826	12/14/1992	JP(English abstract only)		NO
	AL	0 122 077	10/17/1984	EP		NO
	AM	0 463 877	1/2/1992	EP		NO
	AN	0 225 085	6/10/1987	EP		NO
	AO	0 123 470	10/31/1984	EP		NO
	AP	0 436 370	7/10/1991	EP		NO
	AQ	00/19984	4/13/2000	WO(with English abstract)		NO
	AR	00/74655	12/14/2000	WO		NO
	AS	1 064 938	1/3/2001	EP		NO
	AT	01/13895	3/1/2001	WO		NO
	AU	01/37815	5/31/2001	WO		NO
	AV	01/58433	8/16/2001	WO		NO

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. PCT Application Serial No: 10/573,019

Filed: March 22, 2006

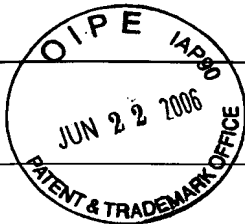
Rosario LIZIO, et al.

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#### STATEMENT OF RELEVANCY

- 1) References AK & AL have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References            have been cited in the corresponding            Search Report. A copy of these references is being submitted herewith.
- 3) References AA, AM - AV are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References            are additional prior art known to Applicant. A copy of these references is being submitted herewith.



LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Docket No.: 286088US0PCT	Serial No.: 10/573,019
	Inventor: Rosario LIZIO, et al.	
	Filing Date: March 22, 2006	Group:

**LIST OF RELATED CASES**

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	286166US0PCT	10/572,963	03/21/06		LIZIO, et al.
	286088US0PCT*	10/573,019	03/22/06		LIZIO, et al.
	282276US0PCT	10/564,096	01/11/06		LIZIO, et al.

Examiner

Date Considered

\*Present Application; listed for information  
NFO/kch